## TUGAS AKHIR KARDIOPULMONAL RESUME JURNAL



## **DISUSUN OLEH :**

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## **DOSEN PENGAMPU :**

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## PRODI S1 FISIOTERAPI FAKULTAS ILMU KESEHATAN UNIVERSITAS 'AISYIYAH 2021

## **RESUME JURNAL 1**

## Topik : Penatalaksaan Fisioterapi pada COVID-19

NO.	KETERANGAN	PEMBAHASAN	
1.	Торіс	:	Australian Physiotherapy Associaton
2.	Title	:	<ul> <li>Physiotherapy Management for COVID-19 In The Acute</li> <li>Hospital Setting : Clinical Practice Recommendations</li> <li>2020</li> <li>Manajemen Fisioterapi untuk COVID-19 Akut di Rumah</li> <li>Sakit : Rekomendasi Latihan Klinis</li> </ul>
3.	Penulis		Peter Thomas, Claire Baldwin, Bernie Bissett, Ianthe Boden, Rik Gosselink, Chaterine L Granger, Carol Hodgson, Alice YM Jones, Michelle E Kho, Rachael Moses, George Ntoumenopoulos, Selina M Parry, Shane Patman, Lisa van der Lee Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) merupakan virus corona baru yang muncul pada tahun 2019 dan menyebabkan coronavirus 2019 (COVID 19). Virus ini ditularkan dari orang melalui sekresi pernapasan. Angka kematian saat ini adalah 3 hingga 5% dengan laporan baru hingga 9% yang berbeda dengan influenza sekitar 0,1% termasuk perawatan ICU sekitar 5%. Dokumen tersebut menguraikan rekomendasi untuk manajemen fisioterapi untuk COVID-19 di rumah sakit pada fase akut. Yang mencakup perencanaan dan persiapan tenaga kerja fisioterapi, alat skrining untuk menentukan kebutuhan fisoterapi dan alat pelindung. Bidang fisioterapi pada kasus ini yaitu fisioterapi kardiorespirasi yang berfokus pada pengelolaan pernapasan akut dan kronis dengan tujuan meningkatkan pemulihan ficik. Eisioteraapis yang berpaktik

unit ICU dapat memberikan tehnik pembersihan jalan napas u tuk pasien yang terpasang ventilasi dan beberapa intervensi yang diberikan berdasarkan indikasi klinis seperti adanya penyakit penyertaa yang terkait hipersekresi atau batuk yang tidak efektif. COVID-19 yang Beberapa pasien dikategorikan mendapatkan perawatan dari fisioterapi : 1. Infeksi saluran pernapasan dengaan adanya batuk kering dan tidak produktif biasanya melibatkan pneumonitis. Dalam kasus ini intervensi fisioterapi tidak diindikasikan. 2. Intervensi fisioterapi pada pasien COVID-29 ICU dapat diindikasikan mengembangkan konsolidasi eksudatif. hipersekrsi mukus atau kesulitas membersihkan sekresi. 3. Fisioterapi memberikan intervensi mobilisasi, latihan dan rehabilitasi pada pasien yang terdapat penyakit penyerta yang mengakibatkan penurunan fungsional atau adanya kelemahan pada anggota gerak. Kemudian intervensi yang fisioterapi dapat berikan pada pasien COVID-19 fase akut. Jika pasien tidak sadarkan diri dapat diberikan Passive ROM, Chest Physiotherapy, Positioning dengan posisi tengkurap. Pasien dengan kategori sadar dapat diberikan Chest Physiotherapy, Breathing Exercise, Strengthening Exercise (Active Assisted / Active Exercise / Resisted Exercise ), Bed Mobiility Training,

> Mengingat manajemen medis yang intensif untuk beberapa pasien COVID-29 termasuk adanya ventilasi paru berkepanjangan, mereka yang dirawat di ICU beresiko tinggi mengalami kelemahan pada fungsional. Oleh karena itu, penting untuk memulai rehabilitasi dini setelah fase akut distres pernapasan untuk meminimalisir keparahan pada

> Mobilization (sit at the edge of bed, sit on chair). Kemudian

untuk pasien kategori sadar dan terpasang ventilasi dapat

diberikan Breathing Exercise, Spirometri, Strengthening

Sit to stand exercise,

Balance Training,

Ambulation, Sit on chair, dan Positioning Prone.

Exercise.

sangat berperan dalam memberikan intervensi	latihan,
mobilisasi, dan rehabilitasi kepada pasien COVID-19	Э.

## **RESUME JURNAL 2**

## Topik : Penatalaksanaan Fisioetapi pada Penyakit Asma

NO.	KETERANGAN		PEMBAHASAN	
1.	Торіс	:	Inj J Physiother	
2.	Title	:	Comparison of Effectiveness of Diaphragmatic Breathing and Pursed-lip Expiration Exercise in Improving The Forced Expiratory Flow Rate and Chest Expansion in Patients With Bronchial Asthma.	
			2016 Perbandingan Efektivitas Pernapasan Diagfragmatik dan Latihan Pursed-lip dalam Meningkatkan dan Perluasan Rongga Dada pada Pasien Asma Bronchial	
3.	Penulis	:	<ul> <li>Shaikhji Saad, Shaikhji Nusaibaith, Abdul Rahim Shaik, S.</li> <li>Padmakumar</li> <li>Asma bronkial salat satu penyakit pernapasan paling umum yang terjadi pada kelompok usia muda, pada penyakit ini otot polos dinding menjadi hiper responsif terhadap berbagai rangsangan yang mengakibatkan batuk, mengi, sesak, dan dispnea. Dapat diatasi dengan tindakan profilaksis yang bertujuan untuk mengurangi bronkospasme, sedangkan tindakan fisioterapi bertujuan untuk merilekskan pasien dalam peningkatan fungsi paru-paru, mengontrol pernapasan, memgurangi serangan dan rehabilitasi.</li> <li>Dalam jurnal tersebut penelitian dengan eksperimental pre-test dan post-test, dilakukan pada pasien asma bronkial (laki-laki dan perempuan) umur 20-40 tahun. Pada kelompok 1, pasien diberikan latihan pernapasan diafragma selama 6 minggu (5 hari dalam seminggu, 2 kali dalam sehari selama 20 menit per sesi ). Pasien diminta rileks dan diposisikan nyaman sehingga punggng dan kepala ditopang sepenuhnya dan perutnya rilkes. Peneliti meletakkan tangannya di bagian perut rektus dibawah kosta anterior. Pasien diminta untuk bernapas perlahan dalam hidung. Kemudian pasien diminta perlahan-lahan mengeluarkan semua udara melalui mulut dengan mengerucutkan bibir. Diterapkan 3 atau 4 kali kemudian istirahat.</li> </ul>	

	Lip selama 6 minggu ( 5 hari dalam seminggu, 2 kali dalam sehari, 20 menit per sasi ). Pasien diminta untuk mengendurkan otot bahunya dan diminta untuk menghirup perlahan udara melalui hidung selama dua hitungan, dengan mulut tertutup. Kemudian diminta menghembuskan napas dengan perlahan melalui bibir seperti bersiul dan meniup lilin dengan 4 hitungan. Hasil penelitian selama 6 minggu menunjukkan bahaw terdapat peningkatan yang signifikan pada FEER dan ekspansi dada pada kelompok senam diafragma. Dimana ditemukan bahwa tehnik pernapasan diagfragma mengyrangi gejala asma bronkial dan meningkatkan FEER, ekspansi dada, serta secara siggnifikan meningkatkan kualitas hidup, Literatur tentang pernapasan diagfragma dan pernapasan bibir yang dikerucutkan efektif menurunkan dispnea, meningkatkan pertukaran gas pada pasien dengan paru obstruktif kronik.

## **R**ESUME JURNAL 3

## Topik : Penatalaksanaan Fisioterapi pada Penyakit PPOK

NO.	KETERANGAN		PEMBAHASAN
1.	Торіс	:	International Journal of Health Science and Research
2.	Title	:	An Evidence-Based Study : Effect of Physiotherapy
	The		Treatment on Exercise Capacity in Patients with COPD
			2020
			Studi Berbasis Bukti : Pengaruh Perawatan Fisoterapi terhadap Kapasitas Latihan pada Pasien dengan COPD
3.	Penulis	:	Perry Y. Patel, Dinesh Sorani
		:	Penyakit Kronis Obstruksi Paru adalah gangguan pernapasan, peradangan paru-paru dan saluran udarasebagai respons terhadap partikel gas beracun. PPOK memiliki efek negatif pada fungsi fisik, pekerjaan, dan sosial pasien karena terbatasnya kapasitas fungsional dan pengalaman sering sesak serta kelelahan saat aktivitas. Untuk menentukan intervensi fisioterapi yang efektif dapat dilakukan <i>six minute walking test</i> (6MWT). Perawatan fisioterapi pada pasien PPOK diantaranya Inspiratory Muscle Techniques (IMT), Cycle Ergometer Training, Resistence Training, Manual Diagphragmatic Release Technique, Muscle Energy Techniques (MET), Yoga with Breathing Control, Diagphragmatic Breathing Training, Aerobic Exercise, Upper Limb Resistance Exercise dan Breathing Exercise untuk meningkatkan kapasitas latihan. Hasil perawatan diukur dengan <i>six minute walking test</i> (6MWT) pada awal dan akhir fasee selama perawatan. Contoh intervensi fisioterapi yang diberikan pada pasien penyakit PPOK 1. Rehabilitasi paru : latihan aerobik, pernapasan bibir atau latihan pernapasan lainnya, bersepeda, durasi sesi jalan kaki 30-90 menit dengan frekuensi 2/3 kali seminggu selama 4 hingga 6 bulan.

	-
	2. Latihan ketahanan : Leg press, extension-flexion knee,
	chest press, abduksi pinggul saat berdiri dengan durasi
	frekuensi dan pengulangan yang berbeda-beda.
	3. Tehnik Energi Otot (MET)
	4. Yoga : Hayha Yoga, Pranayama, Yoga Tawa, Kripalu
	Yoga dengan durasi 2 minggu sampai 9 minggu, frekuensi 1/2
	kali seminggu durasi sesi 10-90 menit
	5. Latihan Pernapasan Diafragma : dilakukan selama 4
	minggu
	6. Manual Diafragma
	7. Tehnik Rilis
	8. Siklus Ergometer Training (CET) dan Pelatihan Otot
	Inspirasi
	9. Pursed-Lip Breathing dan Diaphargma Breathing
	dilakukan selama 3 kali seminggu dalam 1 bulan
	10. Pelatihan Otot Inspirasi (IMT) dengan alat olahraga
	pernapasan volumetrik respivol selama 8 minggu (15 menit /
	hari selama 6 hari dalam seminggu )
	Intervensi-intervensi fisioterapi diatas sangat berpengaruh
	dalam peningkatan kualitas hiduup pada penderita PPOK
	dengan melakukan six minute walking test (6MWT) untuk
	mengetahui kondisi penderita dan menerapkan intervensi
	yang efektif.



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Invited Topical Review

# Physiotherapy management for COVID-19 in the acute hospital setting: clinical practice recommendations

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#### KEY WORDS

Physical therapy Coronavirus COVID-19

Check for

#### ABSTRACT

This document outlines recommendations for physiotherapy management for COVID-19 in the acute hospital setting. It includes: recommendations for physiotherapy workforce planning and preparation; a screening tool for determining requirement for physiotherapy; and recommendations for the selection of physiotherapy treatments and personal protective equipment. It is intended for use by physiotherapists and other relevant stakeholders in the acute care setting caring for adult patients with confirmed or suspected COVID-19. [Thomas P, Baldwin C, Bissett B, Boden I, Gosselink R, Granger CL, Hodgson C, Jones AYM, Kho ME, Moses R, Ntoumenopoulos G, Parry SM, Patman S, van der Lee L (2020) Physiotherapy management for COVID-19 in the acute hospital setting: clinical practice recommendations. *Journal of Physiotherapy* 66:73–82]

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#### Introduction

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is a new coronavirus that emerged in 2019 and causes coronavirus disease 2019 (COVID-19).<sup>1,2</sup> SARS-CoV-2 is highly contagious. It differs from other respiratory viruses in that it appears that human-tohuman transmission occurs approximately 2 to 10 days prior to the individual becoming symptomatic.<sup>2–4</sup> The virus is transmitted from person to person through respiratory secretions. Large droplets from coughing, sneezing or rhinorrhoea land on surfaces within 2 m of the infected person. SARS-CoV-2 remains viable for at least 24 hours on hard surfaces and up to 8 hours on soft surfaces.<sup>5</sup> The virus is transferred to another person through hand contact on a contaminated surface followed by touching the mouth, nose or eyes. Aerosol airborne infected particles created during a sneeze or cough remain viable in the air for < 3 hours.<sup>5</sup> These airborne particles of SARS-CoV-2 can then be inhaled by another person or land on the mucosal membranes of the eyes.

Individuals with COVID-19 can present with an influenza-like illness and respiratory tract infection demonstrating fever (89%),

cough (68%), fatigue (38%), sputum production (34%) and/or shortness of breath (19%).<sup>4</sup> The spectrum of disease severity ranges from asymptomatic infection or mild upper respiratory tract illness through to severe viral pneumonia with respiratory failure and/or death. Current reports estimate that 80% of cases are asymptomatic or mild; 15% of cases are severe (infection requiring oxygen); and 5% are critical requiring ventilation and life support.<sup>2</sup>

Preliminary reports indicate that chest radiographs may have diagnostic limitations in COVID-19.<sup>6</sup> Clinicians need to be aware that lung computed tomography (CT) scan findings often include multiple mottling and ground-glass opacity.<sup>7</sup> Lung ultrasound is also being used at the bedside with findings of multi-lobar distribution of B-lines and diffuse lung consolidation.<sup>8</sup>

The current mortality rate is 3 to 5%, with new reports of up to 9%, which is in contrast to influenza at around 0.1%.<sup>2</sup> The rates of admission to an intensive care unit (ICU) are approximately 5%.<sup>4</sup> Around 42% of patients admitted to hospital will require oxygen therapy.<sup>4</sup> Based on emerging data, individuals at highest risk of developing severe COVID-19 disease requiring hospitalisation and/or ICU support are those who are older, male, have at least one

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	1.1	Plan for an increase in the required physiotherapy workforce. For example: • allow additional shifts for part-time staff • offer staff the ability to electively cancel leave • recruit a pool of casual staff
		<ul> <li>recruit academic and research staff, staff who have recently retired or are currently working in non-clinical roles</li> <li>work different shift patterns (eg, 12-hour shifts, extended evening shifts)</li> </ul>
	1.2	Identify potential additional staff who could be deployed to areas of higher activity associated with COVID-19 admissions (eg, infectious disease ward, ICU and/or high dependency unit and other acute areas). Prioritise staff for deployment who have previous cardiorespiratory and critical care experience.
	1.3	Physiotherapists are required to have specialised knowledge, skills and decision-making to work within ICU. Physiotherapists with previous ICU experience should be identified by hospitals and facilitated to return to ICU. <sup>12</sup>
	1.4	Physiotherapists who do not have recent cardiorespiratory physiotherapy experience should be identified by hospitals and facilitated to return to support additional hospital services. For example, staff without acute hospital or ICU training may facilitate rehabilitation, discharge pathways or hospital avoidance for patients without COVID-19.
	1.5	Staff with advanced ICU physiotherapy skills should be supported to screen patients with COVID-19 assigned to physiotherapy caseloads and provide junior ICU staff with appropriate supervision and support, particularly with decision-making for complex patients with COVID-19. Hospitals should identify appropriate physiotherapy clinical leaders to implement this recommendation.
	1.6	Identify existing learning resources for staff who could be deployed to ICU. For example: • eLearning packages (eg, Clinical Skills Development Service for Physiotherapy and Critical Care Management) <sup>18</sup> • local physiotherapy staff ICU orientation • PPE training
	1.7	Keep staff informed of plans. Communication is crucial to the successful delivery of safe and effective clinical services.
	1.8	<ul> <li>Staff who are judged to be at high risk should not enter the COVID-19 isolation area. When planning staffing and rosters, the following people may be at higher risk of developing more serious illness from COVID-19 and should avoid exposure to patients with COVID-19. This includes staff who: <ul> <li>are pregnant</li> <li>have significant chronic respiratory illnesses</li> <li>are immunosuppressed</li> <li>are older (eg, &gt; 60 years)</li> <li>have severe chronic health conditions such as heart disease, lung disease, diabetes</li> <li>have immune deficiencies, such as neutropenia, disseminated malignancy and conditions or treatments that produce immunodeficiency<sup>12</sup></li> </ul> </li> </ul>
		It is recommended that staff who are pregnant avoid exposure to COVID-19. It is known that pregnant women are potentially at increased risk of complications from any respiratory disease due to the physiological changes that occur in pregnancy. There is not enough currently available information on the impact of COVID-19 on a pregnant woman or her baby.
	1.9	Workforce planning should include consideration for pandemic-specific requirements such as additional workload from donning and doffing PPE, and the need to allocate staff to key non-clinical duties such as enforcing infection control procedures. <sup>12</sup>
	1.10	Consider organising the workforce into teams that will manage COVID-19 versus non-infectious patients. Minimise or prevent movement of staff between teams. Liaise with local infection control services for recommendations.
	1.11	
•		Be aware of and comply with relevant international, national, state and/or hospital guidelines for infection control in healthcare facilities. For example, World Health Organization 'Guidelines for infection prevention and control during health care when novel coronavirus infection is suspected'. <sup>19</sup>
-	1.12	Be aware of and comply with relevant international, national, state and/or hospital guidelines for infection control in healthcare facilities. For example, World Health Organization 'Guidelines for infection prevention and control during health care when novel coronavirus infection is suspected'. <sup>19</sup> Senior physiotherapists should be involved in determining the appropriateness of physiotherapy interventions for patients with confirmed or suspected COVID-19 in consultation with senior medical staff and according to a referral guideline.
-	1.12 1.13	Be aware of and comply with relevant international, national, state and/or hospital guidelines for infection control in healthcare facilities. For example, World Health Organization 'Guidelines for infection prevention and control during health care when novel coronavirus infection is suspected'. <sup>19</sup> Senior physiotherapists should be involved in determining the appropriateness of physiotherapy interventions for patients with confirmed or suspected COVID-19 in consultation with senior medical staff and according to a referral guideline. Identify hospital-wide plans for allocation/cohorting patients with COVID-19. Utilise these plans to prepare resource plans that may be required. For example, Table 2 below is an example of a resource plan for ICU physiotherapy.
-	1.12       1.13       1.14	Be aware of and comply with relevant international, national, state and/or hospital guidelines for infection control in healthcare facilities. For example, World Health Organization 'Guidelines for infection prevention and control during health care when novel coronavirus infection is suspected'. <sup>19</sup> Senior physiotherapists should be involved in determining the appropriateness of physiotherapy interventions for patients with confirmed or suspected COVID-19 in consultation with senior medical staff and according to a referral guideline. Identify hospital-wide plans for allocation/cohorting patients with COVID-19. Utilise these plans to prepare resource plans that may be required. For example, Table 2 below is an example of a resource plan for ICU physiotherapy. Identify additional physical resources that may be required for physiotherapy interventions and how the risk of cross-infection can be minimised (eg, respiratory equipment; mobilisation, exercise and rehabilitation equipment; and equipment storage).
-	1.12       1.13       1.14       1.15	Be aware of and comply with relevant international, national, state and/or hospital guidelines for infection control in healthcare facilities. For example, World Health Organization 'Guidelines for infection prevention and control during health care when novel coronavirus infection is suspected'. <sup>19</sup> Senior physiotherapists should be involved in determining the appropriateness of physiotherapy interventions for patients with confirmed or suspected COVID-19 in consultation with senior medical staff and according to a referral guideline. Identify hospital-wide plans for allocation/cohorting patients with COVID-19. Utilise these plans to prepare resource plans that may be required. For example, Table 2 below is an example of a resource plan for ICU physiotherapy. Identify additional physical resources that may be required for physiotherapy interventions and how the risk of cross-infection can be minimised (eg, respiratory equipment; mobilisation, exercise and rehabilitation equipment; and equipment storage). Identify and develop a facility inventory of respiratory, mobilisation, exercise and rehabilitation equipment and determine the process of equipment allocation as pandemic levels increase (ie, to prevent movement of equipment between infectious and non-infectious areas).
•	1.12       1.13       1.14       1.15       1.16	Be aware of and comply with relevant international, national, state and/or hospital guidelines for infection control in healthcare facilities. For example, World Health Organization 'Guidelines for infection prevention and control during health care when novel coronavirus infection is suspected'. <sup>19</sup> Senior physiotherapists should be involved in determining the appropriateness of physiotherapy interventions for patients with confirmed or suspected COVID-19 in consultation with senior medical staff and according to a referral guideline. Identify hospital-wide plans for allocation/cohorting patients with COVID-19. Utilise these plans to prepare resource plans that may be required. For example, Table 2 below is an example of a resource plan for ICU physiotherapy. Identify additional physical resources that may be required for physiotherapy interventions and how the risk of cross-infection can be minimised (eg, respiratory equipment; mobilisation, exercise and rehabilitation equipment; and equipment storage). Identify and develop a facility inventory of respiratory, mobilisation, exercise and rehabilitation equipment and determine the process of equipment allocation as pandemic levels increase (ie, to prevent movement of equipment between infectious and non-infectious areas). It should be recognised that staff will likely have an increased workload with a heightened risk of anxiety both at work and home. <sup>12</sup> Staff should be supported during and beyond the active treatment phases (eg, via access to employee assistance programs, counselling and facilitated debriefing sessions).
•	1.12       1.13       1.14       1.15       1.16       1.17	Be aware of and comply with relevant international, national, state and/or hospital guidelines for infection control in healthcare facilities. For example, World Health Organization 'Guidelines for infection prevention and control during health care when novel coronavirus infection is suspected'. <sup>19</sup> Senior physiotherapists should be involved in determining the appropriateness of physiotherapy interventions for patients with confirmed or suspected COVID-19 in consultation with senior medical staff and according to a referral guideline. Identify hospital-wide plans for allocation/cohorting patients with COVID-19. Utilise these plans to prepare resource plans that may be required. For example, Table 2 below is an example of a resource plan for ICU physiotherapy. Identify additional physical resources that may be required for physiotherapy interventions and how the risk of cross-infection can be minimised (eg, respiratory equipment; mobilisation, exercise and rehabilitation equipment; and equipment storage). Identify and develop a facility inventory of respiratory, mobilisation, exercise and rehabilitation equipment and determine the process of equipment allocation as pandemic levels increase (ie, to prevent movement of equipment between infectious and non-infectious areas). It should be recognised that staff will likely have an increased workload with a heightened risk of anxiety both at work and home. <sup>12</sup> Staff should be supported during and beyond the active treatment phases (eg, via access to employee assistance programs, counselling and facilitated debriefing sessions). Consider and/or promote debriefing and psychological support; staff morale may be adversely affected due to the increased workload, anxiety over personal safety and the health of family members. <sup>12</sup>

co-existing comorbidity, higher severity of illness scores (measured via SOFA scores), elevated D-dimer levels and/or lymphocytopenia.<sup>2,4,9–11</sup>

#### Purpose

This document has been prepared to provide information to physiotherapists and acute care healthcare facilities about the potential role of physiotherapy in the management of hospital-admitted patients with confirmed or suspected COVID-19.

Physiotherapists who work in primary healthcare facilities are likely to have a role in the management of patients admitted to hospital with confirmed or suspected COVID-19. Physiotherapy is an established profession throughout the world. Globally, physiotherapists often work in acute hospital wards and ICUs. In particular, cardiorespiratory physiotherapy focuses on the management of acute and chronic respiratory conditions and aims to improve physical recovery following an acute illness. Physiotherapy may be beneficial in the respiratory treatment and physical rehabilitation of patients with COVID-19. Although a productive cough is a less common symptom (34%),<sup>4</sup> physiotherapy may be indicated if patients with COVID-19 present with copious airway secretions that they are unable to clear independently. This may be evaluated on a case-bycase basis and interventions applied based on clinical indicators. High-risk patients may also benefit, for example: patients with existing comorbidities that may be associated with hypersecretion or ineffective cough (eg, neuromuscular disease, respiratory disease and cystic fibrosis). Physiotherapists who practise in the ICU environment may also provide airway clearance techniques for ventilated patients

Box 2. Whom	should physiotherapists treat?		
2.1	The respiratory infection associated with COVID-19 is mostly associated with a dry and non-productive cough; lower respiratory tract involvement usually involves pneumonitis rather than exudative consolidation. <sup>20</sup> In these cases, respiratory physiotherapy interventions are not indicated.		
2.2	Respiratory physiotherapy interventions in hospital wards or ICU may be indicated for patients who have confirmed or suspected COVID-19 and concurrently or subsequently develop exudative consolidation, mucous hypersecretion and/or difficulty clearing secretions.		
2.3	Physiotherapists will have an ongoing role in providing interventions for mobilisation, exercise and rehabilitation (eg, in patients with comorbidities creating significant functional decline and/or (at risk of) ICU-acquired weakness).		
2.4	Physiotherapy interventions should only be provided when there are clinical indicators, so that staff exposure to patients with COVID-19 is minimised. Unnecessary review of patients with COVID-19 within their isolation room/areas will also have a negative impact on PPE supplies.		
2.5	Physiotherapists should meet regularly with senior medical staff to determine indications for physiotherapy review in patients with confirmed or suspected COVID-19 and screen according to set/agreed guidelines (Table 1 provides a suggested framework).		
2.6	Physiotherapy staff should not be routinely entering isolation rooms, where patients with confirmed or suspected COVID-19 are isolated or cohorted, just to screen for referrals.		
2.7	Options for screening patients via subjective review and basic assessment whilst not being in direct contact with the patient should be trialled first whenever possible (eg, calling the patient's isolation room telephone and conducting a subjective assessment for mobility information and/or providing education on airway clearance techniques).		
COVID-19 = coronavirus disease 2019, ICU = intensive care unit, PPE = personal protective equipment.			

who show signs of inadequate airway clearance and they can assist in positioning patients with severe respiratory failure associated with COVID-19, including the use of prone position to optimise oxygenation.<sup>12</sup>

Given the intensive medical management for some COVID-19 patients – including prolonged protective lung ventilation, sedation and use of neuromuscular blocking agents – those who are admitted to ICU may be at high risk of developing ICU-acquired weakness;<sup>13</sup>

#### Table 1

Screening guidelines for physiotherapy involvement with COVID-19.

Physiotherapy intervention	COVID-19 patient presentation (confirmed or suspected)	Physiotherapy referral
Respiratory	Mild symptoms without significant respiratory compromise (eg, fever, dry cough, no chest x-ray changes)	Physiotherapy interventions are not indicated for airway clearance or sputum samples <sup>20</sup>
		No physiotherapy contact with patient
-	Pneumonia presenting with features: • a low-level oxygen requirement (eg, oxygen flow $\leq 5$ l/min for	Physiotherapy interventions are not indicated for airway clearance or sputum samples
	<ul> <li>sp02 ≥ 90%)</li> <li>non-productive cough</li> <li>or patient coughing and able to clear secretions independently</li> </ul>	No physiotherapy contact with patient
-	Mild symptoms and/or pneumonia	Physiotherapy referral for airway clearance
	co-existing respiratory or neuromuscular comorbidity (eg, cystic	Staff use airborne precautions
	chronic obstructive pulmonary disease) AND current or anticipated difficulties with secretion clearance	If not ventilated, where possible, the patient should wear a surgical mask during any physiotherapy
-	Mild symptoms and/or pneumonia	Physiotherapy referral for airway clearance
	evidence of exudative consolidation with difficulty clearing or inability to clear secretions independently (eq. weak ineffective and	Staff use airborne precautions
	most sounding cough, tactile fremitus on chest wall, wet sounding voice, audible transmitted sounds)	If not ventilated, where possible, the patient should wear a surgical mask during any physiotherapy
	Severe symptoms suggestive of pneumonia/lower respiratory tract infection (eg. increasing oxygen requirements: fever: difficulty	Consider physiotherapy referral for airway clearance
	breathing; frequent, severe or productive coughing episodes; chest x-ray, CT or lung ultrasound changes consistent with consolidation)	Physiotherapy may be indicated, particularly if weak cough, productive, evidence of pneumonia on imaging and/or secretion retention
		Staff use airborne precautions
		If not ventilated, where possible, the patient should wear a surgical mask during any physiotherapy
		Early optimisation of care and involvement of ICU is recommended
Mobilisation, exercise and rehabilitation	Any patient at significant risk of developing or with evidence of significant functional limitations	Physiotherapy referral
	<ul> <li>eg, patients who are frail or have multiple comorbidities impacting their independence</li> </ul>	Use droplet precautions
	<ul> <li>eg, mobilisation, exercise and rehabilitation in ICU patients with significant functional decline and/or (at risk of) ICU-acquired weakness</li> </ul>	Use airborne precautions if close contact required or possible aerosol generating procedures
		If not ventilated, where possible, the patient should wear a surgical mask during any physiotherapy

COVID-19 = coronavirus disease 2019, CT = computed tomography, ICU = intensive care unit, SpO<sub>2</sub> = oxyhaemoglobin saturation.

Table 2	
Example of an ICU physiotherapy resource	plan.

Phase	Bed capacity	Description and location of patients	Physiotherapy staffing	Equipment for respiratory care, mobilisation, exercise and rehabilitation
Business as usual	22 ICU beds and six HDU beds	All patients within existing ICU and HDU physical resources	Four FTE	<ul> <li>six stretcher chairs</li> <li>10 high-back sitting chairs</li> <li>three rollators</li> <li>one tilt table</li> <li>two cycle ergometers</li> <li>steps/blocks</li> <li>bariatric equipment</li> </ul>
Tier 1	Expansion with additional number of ICU beds provided (eg, opening previously non- commissioned beds)	Fewer than four patients with COVID-19 Patients with COVID-19 only allocated to beds with reverse flow isolation rooms There is limited availability of reverse flow rooms within most hospitals	Additional one FTE per four ICU beds <sup>21</sup> One senior physiotherapist will screen patients with COVID-19 in consultation with an ICU medical consultant Patients will be provided treatment in isolation rooms	If needed, one stretcher chair allocated and quarantined for use One tilt table quarantined for use with COVID patients. Quarantined in room, or cleaned and located for storage in isolation Additional respiratory
				equipment
Tier 2	Further expansion to maximum ICU capacity	The number of patients with COVID-19 exceeds the availability of isolation rooms, necessitating the	Calculation for additional FTE as above	Additional chair resources may be required
		care of infectious patients outside the confines of a negative pressure room Infectious patients will be cohorted	Infections ICU Pod physiotherapists allocated, including one senior physiotherapist	Keep separate sets of chairs, tilt tables, etc, for infectious and non-infectious patients
		on the open ward of the ICU Normal ICU admission/non- infectious patients located in a separate part of ICU	Non-infections ICU Pod physiotherapists allocated, including one senior physiotherapist	
			Infectious and non-infectious staff allocated, including weekends	
Tier 3	Additional ICU beds	Surge in patients with COVID-19 exceeds the	Calculation for additional FTE as above	Additional chair resources may be required
	(eg, in anaesthetic areas)	Bed allocation for patients with COVID-19 allocated across the entire ICU		Keep separate sets of chairs, tilt tables, etc, for infectious and non-infectious patients
		Non-infectious satellite ICU will be established in a separate location		
Tier 4	Additional beds created across clinical areas in other parts of the hospital	Large-scale emergency	Calculation for additional FTE as above	Additional chair resources may be required
	(eg, cardiology, operating theatres)			Keep separate sets of chairs, tilt tables, etc, for infectious and non-infectious patients

COVID-19 = coronavirus disease 2019, FTE = full-time equivalent, HDU = high dependency unit, ICU = intensive care unit.

Table 3		
Specific	respiratory	intervention

peeme respiratory interventionsi	
Aerosol-generating procedures	The following procedures create an airborne risk of transmission of COVID-19: • intubation/extubation • bronchoscopy • high-flow nasal oxygen use • non-invasive ventilation • tracheostomy • cardiopulmonary resuscitation prior to intubation <sup>12,22</sup> Additional aerosol-generating procedures related to physiotherapy techniques are outlined in Box 3.
High-flow nasal oxygen	This is a recommended therapy for hypoxia associated with COVID-19, as long as staff are wearing optimal airborne PPE. <sup>12</sup> At flow rates 40 to 60 l/min, high-flow nasal oxygen does carry a small risk of aerosol generation. The risk of airborne transmission to staff is low when optimal PPE and other infection control precautions are being used. <sup>23</sup> Negative pressure rooms are preferable for patients receiving high-flow nasal oxygen. <sup>12</sup>
	Respiratory support via high-flow nasal oxygen should be restricted to patients in airborne isolation rooms only. Limiting the flow rate to no more than 30 l/min might reduce potential viral transmission.
Non-invasive ventilation	Routine use is not recommended <sup>12</sup> because current experience with COVID-19 hypoxic respiratory failure has a high associated failure rate. If used (eg, with a patient with chronic obstructive pulmonary disease or post-extubation), it must be provided with strict airborne PPE. <sup>12</sup>
Oxygen therapy	Treatment targets may vary depending on the presentation of the patient. • For patients presenting with severe respiratory distress, hypoxaemia or shock, SpO <sub>2</sub> > 94% is targeted. <sup>23</sup> • Once a patient is stable, the SpO <sub>2</sub> target is > 90% in non-pregnant adults <sup>24</sup> and 92 to 95% in pregnant patients. <sup>23</sup> • In adults with COVID-19 and acute hypoxaemic respiratory failure, the SpO <sub>2</sub> target should not be maintained > 96%. <sup>22</sup>
Nebulisation	The use of nebulised agents (eg, salbutamol, saline) for the treatment of non-intubated patients with COVID-19 is not recommended because it increases the risk of aerosolisation and transmission of infection to healthcare workers in the immediate vicinity.
	Use of metered-dose inhalers or spacers is preferred where possible. <sup>12</sup> If a nebuliser is required, liaise with local guidelines for directions to minimise aerosolisation (eg, use of a Pari Sprint with inline viral filter).
	Use of nebulisers, non-invasive ventilation, high-flow nasal oxygen and spirometry should be avoided and agreement to their use sought from senior medical staff. <sup>20</sup> If deemed essential, airborne precautions should be used.

COVID-19 = coronavirus disease 2019, FTE = full-time equivalent, HDU = high dependency unit, ICU = intensive care unit, PPE = personal protective equipment,  $SpO_2 = oxyhaemoglobin saturation$ .

this may worsen their morbidity and mortality.<sup>14</sup> It is therefore essential to initiate early rehabilitation after the acute phase of respiratory distress in order to limit the severity of ICU-acquired weakness and promote rapid functional recovery. Physiotherapy will have a role in providing exercise, mobilisation and rehabilitation interventions to survivors of critical illness associated with COVID-19 in order to enable a functional return to home.

#### Scope

This document focuses on the adult acute hospital setting. The recommendations for physiotherapists are outlined below in two sections: workforce planning and preparation, including screening to determine indications for physiotherapy; and delivery of physiotherapy interventions, including both respiratory and mobilisation/rehabilitation as well as personal protective equipment (PPE) requirements.

It is recognised that physiotherapy practices vary across the world. When using these recommendations, the scope of practice within the local context should be considered.<sup>a</sup>

#### Methods

#### **Consensus approach**

A group of international experts in cardiorespiratory physiotherapy came together to rapidly prepare clinical recommendations for physiotherapy management of COVID-19. The author group initially convened on 20 March 2020 to discuss the urgent need for worldwide acute care physiotherapy guidance in relation to COVID-19. Efforts were quickly prioritised to develop specific guidance for physiotherapists in the acute care settings.

The AGREE II framework<sup>15</sup> was used to guide development, and recognising the expediency of this work required pragmatic and transparent reporting. Conduct was modelled after the GRADE Adolopment Process<sup>16</sup> and Evidence to Decision framework<sup>17</sup> for recommendations and decision-making. Expertise includes ICU and

acute inpatient physiotherapy (all), rehabilitation interventions in the ICU (all), physiotherapy administration (PT, IB, RG, AJ, RM, ShP), systematic reviews (PT, CB, CG, RG, CH, MK, SP, ShP, LV), guideline methodology (PT, IB, RG, CH, MK, RM, ShP, LV), and epidemiology (CH, MK).

Through a web search and personal files, recently developed guidelines for COVID-19 management of critically ill patients were identified from international agencies (eg, World Health Organization), critical care professional societies or groups (eg, Australia and New Zealand Intensive Care Society, Society of Critical Care Medicine/ European Society of Intensive Care Medicine), or physiotherapy professional societies up to 21 March 2020. These guidelines were used to inform the consensus recommendations developed in conjunction with expert opinion of the authorship group.

A priori it was decided to develop consensus recommendations, given the time-sensitive nature of the guidance. It was agreed that a  $\geq$  70% agreement was required for a recommendation. On Friday 20 March 2020 the lead author (PT) circulated draft recommendations to all authors. All authors independently returned comments to the lead author. The lead author (PT) collated all comments for further discussion. All recommendations were discussed in a teleconference on 22 March 2020. Fourteen people participated in the development process and 66 recommendations were developed. A consensus of > 70% was achieved for all items. Further discussion was focused on greater clarity in wording and/or reduction of items where overlap occurred.

Endorsement for the recommendations was sought from physiotherapy societies, physiotherapy professional groups and the World Confederation for Physical Therapy. The recommendations were circulated to these groups on 23 March 2020, requesting endorsement; endorsements will be updated as they are confirmed.

#### Strengths and limitations

This document has several strengths. It responds to an urgent need for clinical guidance for acute care physiotherapists worldwide.

#### Table 4

Additional respiratory interventions in the ICU.

Intubation and mechanical ventilation	Patients with worsening hypoxia, hypercapnia, acidaemia, respiratory fatigue, haemodynamic instability or those with altered mental status should be considered for early invasive mechanical ventilation if appropriate. <sup>12</sup>			
	The risk of aerosol transmission is reduced once a patient is intubated with a closed ventilator circuit. <sup>12</sup>			
Recruitment manoeuvres	Although current evidence does not support the routine use of recruitment manoeuvres in non-COVID-19 ARDS, they could be considered in patients with COVID-19 on a case-by-case basis. <sup>12</sup>			
Prone positioning	Anecdotal reports from international centres dealing with large numbers of critically ill patients with COVID-19-related ARDS suggest that prone ventilation is an effective strategy in mechanically ventilated patients. <sup>12</sup>			
	In adult patients with COVID-19 and severe ARDS, prone ventilation for 12 to 16 hours per day is recommended. <sup>22,23</sup> It requires sufficient human resources and expertise to be safely performed, to prevent known complications including pressure areas and airway complications.			
Bronchoscopy	Bronchoscopy carries a significant risk of aerosol generation and transmission of infection. The clinical yield is thought to be low in COVID-19 and unless there are other indications (such as suspected atypical/opportunistic superinfection or immunosuppression) it is strongly advised to avoid the procedure. <sup>12</sup>			
Suctioning	Closed inline suction catheters are recommended. <sup>12</sup>			
Sputum samples	In a ventilated patient, tracheal aspirate samples for diagnosis of COVID-19 are sufficient and bronchoalveolar lavage is not usually necessary. <sup>12</sup>			
	Any disconnection of the patient from the ventilator should be avoided to prevent lung decruitment and aerosolisation. If necessary, the endotracheal tube should be clamped and the ventilator disabled (to prevent aerosolisation). <sup>12</sup>			
Tracheostomy	Tracheostomy could be considered in suitable patients to facilitate nursing care and expedite ventilator weaning, but is an aerosolising procedure and this must be considered in clinical decision making. <sup>12</sup>			

ARDS = acute respiratory distress syndrome, COVID-19 = coronavirus disease 2019, ICU = intensive care unit.

Guidance was based on the most recent and relevant COVID-19 clinical practice guidelines from highly-respected organisations, national physiotherapy organisations and peer-reviewed studies; these sources were transparently reported. The authors represent an international group of physiotherapists, with extensive clinical experience in the ICU and on the wards. They are also academic physiotherapists with experience in the leadership, conduct and execution of rigorous systematic reviews, clinical studies (including prospective cohort studies and international multi-centre trials), and clinical practice guidelines. The recommendations have been endorsed by international physiotherapy organisations.<sup>b</sup> Translations of the recommendations are available in Appendix 1 on the eAddenda.

There are also some limitations. Given the recent presentation of COVID-19, clinical guidance may change as more is learnt about the natural history of this disease. Recommendations were extrapolated based on best evidence for current management of critically ill patients and long-term outcomes in critical illness survivors. No patient was included in the author group. While the recommendations apply to physiotherapy interventions in the acute-care setting, longer-term follow-up of survivors is needed.

## Recommendations for physiotherapy workforce planning and preparation

COVID-19 is placing significant demands on healthcare resources throughout the world. Box 1 outlines recommendations to assist the physiotherapy workforce to plan and respond to this demand. Box 2 and Table 1 provide recommendations for determining whom physiotherapists should treat when patients have confirmed or suspected COVID-19. Table 2 provides an example of a resource plan for ICU physiotherapy from Tier 0 (business as usual) through to Tier 4 (largescale emergency). Local context, resources and expertise should be considered when utilising this example resource plan.

#### Medical management of COVID-19

It is important for physiotherapists to be aware of the medical management for patients with COVID-19. Table 3 summarises some of the recommendations available from medical guidelines developed by professional societies (as listed in Appendix 2 on the eAddenda).

For patients admitted to ICU, additional strategies may be used; these are summarised in Table 4. With increasing acuity, there is an increased risk of dispersion of aerosolised virus into the healthcare environment due to the nature of critical illness, higher viral load and the performance of aerosol-generating procedures. It is recommended that airborne PPE precautions should be used to care for all patients with COVID-19 in ICU.<sup>12</sup>

#### Recommendations for the delivery of physiotherapy interventions, including personal protective equipment requirements

#### Physiotherapy management principles – respiratory care

Examples of physiotherapy-led respiratory interventions (or chest physiotherapy) are provided below.

#### Airway clearance techniques

Airway clearance techniques include positioning, active cycle of breathing, manual and/or ventilator hyperinflation, percussion and vibrations, positive expiratory pressure therapy (PEP) and mechanical insufflation-exsufflation.

#### Non-invasive ventilation and inspiratory positive pressure breathing

Physiotherapists may use inspiratory positive pressure breathing (eg, for patients with rib fractures). Non-invasive ventilation may be applied as part of airway clearance strategies in the management of respiratory failure or during exercise.

#### *Techniques to facilitate secretion clearance*

Techniques to facilitate secretion clearance include assisted or stimulated cough manoeuvres and airway suctioning.

#### Other

Physiotherapists prescribe exercise and assist patients to mobilise. Physiotherapists also play an integral role in the management of patients with a tracheostomy.

COVID-19 poses significant considerations for respiratory physiotherapy interventions due to their aerosol-generating procedures. Box 3 outlines recommendations for providing respiratory care to patients with COVID-19.

Box 3. Recommendations for physiotherapy respiratory interventions.								
Personal protect	ive equipment							
3.1	It is strongly recommended that airborne precautions are utilised during respiratory physiotherapy interventions.							
Cough etiquette								
3.2	Both patients and staff should practise cough etiquette and hygiene.							
	During techniques that may provoke a cough, education should be provided to enhance cough etiquette and hygiene: • Ask the patient to cover their cough by coughing into their elbow or sleeve or into a tissue. Tissues should then be disposed and hand hygiene performed. • In addition, if possible, physiotherapists should position themselves $\geq 2$ m from the patient and out of the likely path of dispersion.							
Aerosol-generati	ing procedures							
3.3	Many respiratory physiotherapy interventions are potentially aerosol-generating procedures. While there are insufficient investigations confirming the aerosol-generating potential of various physiotherapy interventions, <sup>25</sup> the combination with cough for airway clearance makes all techniques potentially aerosol-generating procedures.							
	<ul> <li>These include:</li> <li>cough-generating procedures (eg, cough or huff during treatment)</li> <li>positioning or gravity-assisted drainage techniques and manual techniques (eg, expiratory vibrations, percussion and manually assisted cough) that may trigger a cough and sputum expectoration</li> <li>use of positive pressure breathing devices (eg, inspiratory positive pressure breathing), mechanical insufflation-exsufflation devices, intra/extra pulmonary high-frequency oscillation devices (eg, The Vest, MetaNeb, Percussionaire)</li> <li>PEP and oscillating PEP devices</li> <li>bubble PEP</li> <li>nasopharyngeal or oropharyngeal suctioning</li> <li>manual hyperinflation</li> <li>open suction</li> <li>saline instillation via an open-circuit endotracheal tube</li> <li>inspiratory muscle training, particularly if used with patients who are ventilated and disconnection from a breathing circuit is required</li> <li>sputum inductions</li> <li>any mobilisation or therapy that may result in coughing and expectoration of mucus</li> </ul>							
3.4	Where aerosol-generating procedures are indicated and considered essential they should be undertaken in a negative-pressure room, if available, or in a single room with the door closed. Only the minimum number of required staff should be present and they must all wear PPE, as described. Entry and exit from the room should be minimised during the procedure. <sup>12</sup>							
	This may not be able to be maintained when cohorting is required because of the volume of patients presenting with COVID-19.							
3.5	BubblePEP is not recommended for patients with COVID-19 because of uncertainty around the potential for aerosolisation, which is similar to the caution the WHO places on bubble CPAP <sup>23</sup>							
3.6	There is no evidence for incentive spirometry in patients with COVID-19.							
3.7	Avoid the use of mechanical insufflation/exsufflation, non-invasive ventilation, inspiratory positive pressure breathing devices or high-flow nasal oxygen devices. However, if clinically indicated and alternative options have been ineffective, consult with both senior medical staff and infection prevention and monitoring services within local facilities prior to use.							
	If used, ensure that machines can be decontaminated after use and protect machine with viral filters over machine and patient ends of circuits: • Use disposable circuits for these devices. • Maintain a log of devices that includes patient details for tracking and infection monitoring (if required). • Use airborne precautions.							
3.8	Where respiratory equipment is used, whenever possible, use single-patient-use disposable options (eg, single-patient-use PEP devices).							
39	re-usable respiratory equipment should be avoided where possible.							
J.J	with a senior doctor (eg, medical consultant).							
Sputum inductio	ins							
3.10	Sputum inductions should not be performed.							
Requests for spu	itum samples							
3.11	In the first instance, ascertain whether the patient is productive of sputum and able to clear sputum independently. If so, physiotherapy is not required for a sputum sample.							
	If physiotherapy interventions are required to facilitate a sputum sample, full airborne PPE should be worn. The handling of sputum samples should adhere to local policies. Generally, once a sputum sample has been obtained the following points should be followed: • All sputum specimens and request forms should be marked with a biohazard label. • The specimen should be double-bagged. The specimen should be placed in the first bag in the isolation room by a staff member wearing recommended PPE. • Specimens should be hand-delivered to the laboratory by someone who understands the nature of the specimens. Pneumatic tube systems must not be used to transport specimens.							
Saline nebulisati	on							
3.12	Do not use saline nebulisation. It should be noted that some UK guidelines allow use of nebulisers, but this is currently not recommended in Australia.							
Manual hyperinf	flation							
3.13	As it involves disconnection/opening of a ventilator circuit, avoid manual hyperinflation and utilise ventilator hyperinflation if indicated (eg, for suppurative presentations in ICU and if local procedures are in place).							

Box 3. Continue	ed and a second s
Positioning, incl	luding gravity-assisted drainage
3.14	Physiotherapists can continue to advise on positioning requirements for patients.
Prone positionii	ng
3.15	Physiotherapists may have a role in the implementation of prone positioning in the ICU. This may include leadership within ICU 'prone teams', providing staff education on prone positioning (eg, simulation-based education sessions) or assisting in turns as part of the ICU team.
Tracheostomy n	nanagement
3.16	<ul> <li>The presence of a tracheostomy and related procedures are potentially aerosol generating:</li> <li>Cuff deflation trials and inner tube changes/cleaning can be aerosol generating.</li> <li>Closed, in-line suction is recommended.</li> <li>Inspiratory muscle training, speaking valves and leak speech should not be attempted until patients are over the acute infection and the risk of transmission is reduced.</li> <li>Airborne precautions are recommended with infectious patients with COVID-19 with a tracheostomy.</li> </ul>

COVID-19 = coronavirus disease 2019, CPAP = continuous positive airway pressure, ICU = intensive care unit, PEP = positive expiratory pressure, PPE = personal protective equipment, WHO = World Health Organization.

Personal protectations should be appropriate for the provision of mobilisation, exercise and rehabilitation interventions that require assistance). In these exercises, conside with services practicular states, conside with vertilated patients, submitiation, exercise or rehabilitation interventions that require assistance). In these exercises, conside with vertilated patients, Refer to local guidelines regarding ability to mobilis patients outside of their isolation room. If mobilising outside of the isolation room, ensure that the patient coughing or expectorating mucus, and there may be circular state of their isolation room. If mobilising outside of the isolation room, ensure that the patient surgical mask.         Screening       Physiotherapists will actively screen and/or accept referrals for mobilisation, exercise and rehabilitation.         4.3       Physiotherapist staff who come in to contact with patient (e.g. via phone) or family is recommended before decling to enter the patient's isolation room, with guidance provided, if needed, by the physiotherapist may there be performs should only be considered when there are significant functional limitations, such as (risk of) ICU-acquired weakness, faily, multiple controlidities and advanced age.         4.4       Evert physiotherapy interventions should only be considered when there are significant functional limitations, such as (risk of) ICU-acquired weakness, faily, multiple controlidities and advanced age.         4.5       Evert physiotherapist should only be considered when there are significant functional limitations, such as (risk of) ICU-acquired maintain function as able within their rooms: a site out of bed.         4.6       Mobilisation and exercise prescription         4.7       Reader should be corcarged	Box 4. Recon	nmendations for physiotherapy mobilisation, exercise and rehabilitation interventions.
41       Drogle precaritors should be appropriate for the provision of mobilisation, exercise and rehabilitation in most circumstances. However, physiotherapits are in the patient (s.g. for mobilisation exercise in rehabilitation interventions that regime assistance). In these cases, consider use of a high intration mask (sq. P2/N9). Mobilisation and exercise may also result in the patient coupling or expectorating macus, and there may be circuit disconnections with ventilade of patients.         8       Refer to local guidelines regarding ability to mobilise patients outside of their isolation room, if mobilisation care and the patient is vearing a surgical mask.         4.2       Physiotherapitst will actively screen and/or accept referrate for mobilisation, exercise and rehabilitation.         4.2       Physiotherapitst will actively screen and/or accept referrate for mobilisation, exercise and rehabilitation.         4.3       Direct physiotherapits the consult of the hysiotherapits and the patient swith COVID-19, physiotherapits may screen to determine an appropriate aid to trial. A trial of the aid may then be performed by the nursing staff. the patient swith COVID-19, physiotherapits may screen to determine an appropriate aid to trial.         4.3       Direct physiotherapy interventions should only be considered when there are significant functional limitations, such as (risk of) ICU-acquired weakness, fraidy, nurtiple consolidation advanced age.         Early mobilisation       advanced age.         Early mobilisation       advanced age.         4.3       Direct physiotherapy interventions should may be considered when there are significant functional limitations. such as (risk of) ICU-ac	Personal protect	ive equipment
Screening         42       Physiotherapists will actively screen and/or accept referrals for mobilisation, exercise and rebabilitation.         43       When screening, discussion with hursing staff hepatient (eg. via phone) or family is recommended before deciding to enter the patient's isolation room, with guidance provided, if needed, by the physiotherapist who is outside the room.         43       Direct physiotherapy interventions should only be considered when there are significant functional limitations, such as (risk of) ICU-acquired weakness, fraftly, multiple comorbidities and advanced age.         Early mobilisation       Early mobilisation is encouraged. Actively mobilise the patient early in the course of illness when safe to do so. <sup>73</sup> 43       Patients should be encouraged to maintain function as able within their rooms: <ul> <li>it out of bed, hemodynamic function).<sup>66/2</sup></li> <li>Patients should be encouraged to daily living.</li> </ul> 44       Mobilisation and exercise prescription should involve careful consideration of the patient's state (eg. stable clinical presentation with stable respiratory and hemodynamic function). <sup>66/2</sup> 45       Mobilisation and exercise prescription should involve careful considered and discussed with local infection monitoring and prevention service staff before being used with patients with COVID-19 to ensure that it can be properly decontaminated.         46       Use equipment that can be single patient use. For example, stretcher chairs or ill tables may be deemed appropriate if they can be decontaminated with appropriate cleaning and are indicated for progression of stitting/standing.	4.1	Droplet precautions should be appropriate for the provision of mobilisation, exercise and rehabilitation in most circumstances. However, physiotherapists are likely to be in close contact with the patient (eg, for mobilisation, exercise or rehabilitation interventions that require assistance). In these cases, consider use of a high filtration mask (eg, P2/N95). Mobilisation and exercise may also result in the patient coughing or expectorating mucus, and there may be circuit disconnections with ventilated patients. Refer to local guidelines regarding ability to mobilise patients outside of their isolation room. If mobilising outside of the isolation room, ensure that the patient is wearing a surgical mask.
42       Physiotherapists will actively streen and/or accept referals for mobilisation, exercise and rehabilitation.         43       When screening, discussion with periferin (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before deciding to enter the patient (sg. via hone) of family is recommended before decide and (sc. via hone) of family is recommended before decide and via hone of family is recommended before deciding via hone) of family is	Screening	
4.3       Direct physiotherapy interventions should only be considered when there are significant functional limitations, such as (risk of) ICU-acquired weakness, frailty, multiple comorbidities and advanced age.         Early mobilisation       Early mobilisation is encouraged. Actively mobilise the patient early in the course of illness when safe to do so. <sup>23</sup> 4.4       Early mobilisation is encouraged to maintain function as able within their rooms: <ul> <li>Sit out of bed.</li> <li>Perform simple exercises and activities of daily living.</li> </ul> Mobilisation and exercise prescription       Mobilisation and exercise prescription should involve careful consideration of the patient's state (eg, stable clinical presentation with stable respiratory and haemodynamic function). <sup>26,27</sup> Mobility and exercise equipment       The use of equipment should be carefully considered and discussed with local infection monitoring and prevention service staff before being used with patients with COVID-19 to ensure that it can be properly decontaminated.         4.8       Use equipment (eg, mobility aids, ergometers, chairs and tilt tables) must be easily decontaminated. Avoid use of specialised equipment, unless necessary. for basic functional tasks: For example, use elastic resistance bands rather than distributing hand weights.         4.10       When mobilisation, exercise or rehabilitation interventions are indicated:         • Pian well.       Identify/use the minimum number of staff required to safely perform the activity. <sup>26</sup> • Notenerver possible, kee dedicated equipment til is tolaton rooms.       Ensure that all equipment is	4.2	Physiotherapists will actively screen and/or accept referrals for mobilisation, exercise and rehabilitation. When screening, discussion with nursing staff, the patient (eg, via phone) or family is recommended before deciding to enter the patient's isolation room. For example, to try to minimise staff who come in to contact with patients with COVID-19, physiotherapists may screen to determine an appropriate aid to trial. A trial of the aid may then be performed by the nursing staff already in an isolation room, with guidance provided, if needed, by the physiotherapist who is outside the room.
Early mobilisation       Early mobilisation is encouraged. Actively mobilise the patient early in the course of illness when safe to do so. <sup>23</sup> 44       Early mobilisation is encouraged. Actively mobilise the patient early in the course of illness when safe to do so. <sup>23</sup> 45       Patients should be encouraged to maintain function as able within their rooms: Sit out of bed. Perform simple exercises and activities of daily living.         Mobilisation are exercise prescription       Mobilisation and exercise prescription should involve careful consideration of the patient's state (eg, stable clinical presentation with stable respiratory and haemodynamic function). <sup>26,27</sup> Mobility and exercise equipment       The use of equipment should be carefully considered and discussed with local infection monitoring and prevention service staff before being used with patient use. For example, use elastic resistance bands rather than distributing hand weights.         4.8       Use equipment (eg, mobility aids, ergometers, chairs or tilt tables) must be easily decontaminated. Avoid use of specialised equipment, unless necessary, for basic functional tasks. For example, stretcher chairs or tilt tables may be deemed appropriate if they can be decontaminated with appropriate cleaning and are indicated for progression of stifting standing.         4.10       When mobilisation, exercise or rehabilitation interventions are indicated: Plan well. elsnice that all equipment is avaible and working before entering rooms. ensure that all equipment is avaible and movining before entering rooms. ensure that all equipment is avaible and patient, tecan and disinfichet between each patient use. <sup>23</sup> eyecific staf	4.3	Direct physiotherapy interventions should only be considered when there are significant functional limitations, such as (risk of) ICU-acquired weakness, frailty, multiple comorbidities and advanced age.
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Box 5. Recor	nmendations regarding personal protective equipment for physiotherapists.
5.1	All staff must be trained in correct donning and doffing of PPE, including N95 'fit-checking'. A registry of staff who have completed PPE education and fit checking should be maintained.
5.2	'Fit testing' is recommended when available, but the evidence for fit testing effectiveness is limited and the variation in supply of N95 mask types may make any recommendation on fit testing difficult to implement from a practical perspective. <sup>12</sup>
5.3	Staff with beards should be encouraged to remove facial hair to ensure good mask fit. <sup>24</sup>
5.4	For all confirmed or suspected cases, <b>droplet precautions</b> should be implemented, at a minimum. Staff must wear the following items: • surgical mask • fluid-resistant long-sleeved gown • goggles or face shield • gloves <sup>22</sup>
5.5	<ul> <li>Recommended PPE for staff caring for COVID-19-infected patients includes added precautions for patients with significant respiratory illness, when aerosol-generating procedures are likely and/or prolonged or very close contact with the patient is likely. In these cases, <b>airborne precautions</b> are followed, including: <ul> <li>an N95/P2 mask</li> <li>fluid-resistant long-sleeved gown</li> <li>goggles or face shield</li> <li>gloves<sup>24</sup></li> </ul> </li> </ul>
5.6	In addition, the following can be considered: • hair cover for aerosol-generating procedures • shoes that are impermeable to liquids and can be wiped down
	Recurrent use of shoe covers is not recommended, as repeated removal is likely to increase the risk of staff contamination."
5.7	PPE must remain in place and be worn correctly for the duration of exposure to potentially contaminated areas. PPE (particularly masks) should not be adjusted during patient care. <sup>24</sup>
5.8	Use a step-by-step process for donning and doffing PPE as per local guidelines. <sup>24</sup>
5.9	Check local guidelines for information on laundering uniforms and/or wearing uniforms outside of work if exposed to COVID-19. For example, changing into scrubs may be recommended in local guidelines <sup>12</sup> and/or staff may be encouraged to get changed out of their uniform before leaving work and to transport worn uniforms home in a plastic bag for washing at home.
5.10	Minimise personal effects in the workplace. All personal items should be removed before entering clinical areas and donning PPE. This includes earrings, watches, lanyards, mobile phones, pagers, pens, etc. Stethoscope use should be minimised. <sup>12</sup> If required, use dedicated stethoscopes within isolation areas. <sup>19,23</sup> Hair should be tied back out of the face and eyes. <sup>24</sup>
5.11	Staff caring for infectious patients must apply correct PPE, irrespective of physical isolation. For example, in ICU, if patients are cohorted into a Pod with open rooms, staff working within the confines of the ICU Pod but not directly involved in patient care should also wear PPE. The same applies once infectious patients are nursed on an open ward. Staff then use plastic aprons, a change of gloves and hand hygiene when moving between patients in open areas.
5.12	When a unit is caring for a patient with confirmed or suspected COVID-19, it is recommended that all donning and doffing are supervised by an additional appropriately trained staff member. <sup>12</sup>
5.13	Avoid sharing equipment. Preferably only use single-use equipment.
5.14	Wear an additional plastic apron if a large volume of fluid exposure is expected. <sup>24</sup>
5.15	If reusable PPE items are used (eg, goggles), these must be cleaned and disinfected prior to re-use. <sup>24</sup>
COVID-19 = coror	navirus disease 2019, ICU = intensive care unit, PPE = personal protective equipment.

## Physiotherapy management principles – mobilisation, exercise and rehabilitation interventions

Physiotherapists are responsible for providing musculoskeletal, neurological and cardiopulmonary rehabilitation tasks, as outlined below.

#### Range of motion exercises

Passive, active-assisted, active or resisted joint range of motion exercises may be performed to maintain or improve joint integrity, range of motion and muscle strength.

#### Mobilisation and rehabilitation

Examples of mobilisation and rehabilitation include bed mobility, sitting out of bed, sitting balance, sit to stand, walking, tilt table, standing hoists, upper/lower limb ergometry and exercise programs. Box 4 outlines recommendations for implementing these activ-

ities in patients with COVID-19.

#### Personal protective equipment considerations

It is imperative that physiotherapists understand the measures in place to prevent transmission of COVID-19. Box 5 provides

recommendations for this. Patients with confirmed or suspected COVID-19 will be managed with either droplet or airborne precautions.<sup>12</sup> Additionally, they will be placed in isolation. Hospitals are often able to contain patients with droplet or airborne spread within dedicated isolation rooms. However, there are a limited number of negative pressure bays and pods and/or rooms across Australia and New Zealand,<sup>12</sup> so isolation within dedicated rooms may not be possible with COVID-19 because of the large volume of patient admissions.

It is important for physiotherapists to understand the different types of isolation rooms that exist in hospitals. Class S rooms (standard single rooms, no negative pressure capability), which can be used for isolating patients capable of transmitting infection by droplet or contact routes<sup>12</sup> and Class N rooms (single negative pressure isolation rooms), which are beneficial in isolating patients with transmissible airborne infections.<sup>12</sup> The preference would be for patients with confirmed or suspected COVID-19 to be isolated in Class N rooms.<sup>12</sup> If this is not possible, Class S single rooms with clearly designated areas for donning and doffing PPE are recommended.<sup>12</sup> In the event of all single Class N and S rooms being fully occupied, the recommendation is for patients with COVID-19 to be separately

cohorted to patients without COVID-19 within the hospital.<sup>12</sup> In an open ICU or ward-cohorted areas with one or more patients with COVID-19, it is recommended that staff members in the whole area are required to use airborne PPE precautions.<sup>12</sup> Box 5 describes how the movement from dedicated isolation rooms to open cohorting might evolve within an ICU.

Footnotes: a An international team of expert researchers and clinicians within the intensive care and acute cardiorespiratory fields have developed these recommendations. The recommendations are intended for use in adults only. This document has been constructed using existing medical guidelines, relevant literature and expert opinion. The authors have made considerable effort to ensure that the information contained with the recommendation is accurate at time of publication. Further iterations of these recommendations will be published as new information arises. The information provided in this document is not designed to replace local institutional policies and should not replace clinical reasoning for individual patient management. The authors are not liable for the accuracy, information that may be perceived as misleading, or completeness of information in this document. The author group will review and update this guidance within 6 months, or if important new evidence emerges that changes the recommendations herein. <sup>b</sup> These recommendations have been endorsed by: Australian Physiotherapy Association, Canadian Physiotherapy Association, Association of Chartered Society of Physiotherapists in Respiratory Care UK, Associazione Riabilitatori dell' Insufficienza Respiratoria, Koninklijk Nederlands Genootschap voor Fysiotherapie, International Confederation of Cardiorespiratory Physical Therapists, World Confederation for Physical Therapy, AXXON Physical Therapy in Belgium, and Société de Kinésithérapie de Réanimation.

**eAddenda:** Appendices 1 and 2 can be found online at https://doi.org/10.1016/j.jphys.2020.03.011.

#### Ethics approval: Not applicable.

**Competing interest:** All authors completed a World Health Organization conflict of interest form. Direct financial and industryrelated conflicts of interest were not permitted and were considered to be disqualifying. The development of these recommendations did not include any industry input, funding, or financial or non-financial contribution. No author received honoraria or remuneration for any role in the development process. We explicitly discussed conflicts of interest, including those who held grants related to intensive care unit rehabilitation (CH, MK, SMP) or received industry funding for high-flow nasal cannula research (IB); because none of these projects specifically involved COVID-19, the group agreed that there were no relevant conflicts of interest.

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**Provenance:** Invited. Peer reviewed.

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## **ORIGINAL ARTICLE**



## COMPARISON OF EFFECTIVENESS OF DIAPHRAGMATIC BREATHING AND PURSED-LIP EXPIRATION EXERCISES IN IMPROVING THE FORCED EXPIRATORY FLOW RATE AND CHEST EXPANSION IN PATIENTS WITH BRONCHIAL ASTHMA

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## ABSTRACT

**Background:** Asthma is growing problem in India and throughout the world. Breathing exercises are commonly incorporated in overall pulmonary rehabilitation program of patients with bronchial asthma. However there is a lack of awareness regarding following a specific exercise prescription which is based on individual's requirements. Physiotherapist can help in designing an exercise prescription specific to an individual possibly to achieve more control over bronchial asthma.

*Methods:* Thirty patients both male and female aged between 20 and 40 years diagnosed with bronchial asthma by the physician were assigned in two groups. Group-1 patients were given diaphragmatic breathing exercises and group-2 patients were given pursed-lip expiration exercises. Both groups received selected intervention for 6 weeks, 5 days in a week, 2 times in a day, and 20 minutes per session. Pre and post-test measures of forced expiratory flow rate were taken by peak expiratory flow meter and chest expansion was measured by inch tape. Data were analysed using Statistical Package for Social Sciences (SPSS) version 17.0 software. The analysis was performed by using students paired t-test.

*Results:* The study shows statistically significant improvement in diaphragmatic breathing exercise group when compared to pursed-lip expiration exercise group. The value of chest expansion has shown 2.04 % improvement in group 1 and 1.01 % in group 2 whereas peak expiratory flow rate (PEFR) showed 16.9 % improvement in group 1 and 2.27 % in group 2.

*Conclusion:* Diaphragmatic breathing exercises play a vital role in rehabilitation of asthmatic patients to gain functional improvement and independence.

*Keywords:* Bronchial asthma, Diaphragmatic breathing exercise, Pursed-lip expiration exercise, Forced expiratory flow rate, Chest expansion

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### **INTRODUCTION**

Bronchial asthma is a growing problem throughout the world. It is one of the commonest respiratory diseases occurring in younger age group as well as older population [1-12]. In bronchial asthma smooth muscles of bronchial wall become hyper responsive to a wide range of stimuli resulting in coughing, wheezing, chest tightness and dyspnea [2]. This can be treated prophylactically and physiotherapeuticaly. Prophylactic measures aim at reducing bronchospasm, whereas physiotherapeutic measures aim at relaxing the patient improving lung function, gaining breathing control (breathing control consist of normal breathing using the lower chest with the upper chest and limbs relaxed), reducing severity of attacks and rehabilitation [3].

Incidence of asthma is increasing and demands more effective treatment procedures. It is known fact that exercise has a positive effect in controlling bronchial asthma, but there is lack of awareness on following a specific exercise prescription which is based on individuals' requirements. Physiotherapist can help in designing an exercise prescription specific to an individual possibly to achieve more control over bronchial asthma [4,5].

Even though the diaphragmatic breathing and pursed-lip expiration exercises are the two available forms of treatment, a thorough understanding of the procedure will enable the therapist to advice the patient and improve the pulmonary function and chest expansion. <sup>[6]</sup> Hence the study is undertaken to throw more light on the two physiotherapy techniques (diaphragmatic breathing and pursedlip expiration) and their effect on forced expiratory flow rate (FEFR) and chest expansion in patients with bronchial asthma.

## MATERIALS AND METHODS

The present study is a pre-test post-test experimental study, conducted in bronchial asthma patients (both male and female) between the age group of 20-40 years. The bronchial asthma patients referred from the Department of Medicine by the physician reporting to Yenepoya Medical College Hospital, Mangalore, Karnataka, India, constituted the population of the study. A total number of 50 patients were screened using the following proforma out of which 30 met the inclusion criteria. The patients were required to fulfil the following criteria to be included in the study: (i) mild (daytime symptoms more than once a week, (ii) nocturnal symptoms more than twice a month, peak expiratory flow rate/ force expiratory flow volume in one second (PEFR / FEV1 > 80%) and (iii) moderate (day time symptoms daily, nocturnal symptoms more than once a week, PEFR / FEV1: 60 – 80%) persistent bronchial asthma patients. Subjects were excluded from the study if they had the following problems: (i) non co-operative patients, (ii) status asthmatics patients and (iii) patients of asthma associated with other respiratory and cardiac diseases.

Ethical clearance from the Yenepoya University Ethical Committee was obtained prior to the commencement of the study. The purpose of the study was explained to the patients in their language. All patients signed an institutionally approved informed consent statement prior to data collection. Thirty patients were assigned into two groups (group-1 and group-2). Each group consisted of equal number (15) of patients.

### (a) Group - 1

Patients were given diaphragmatic breathing exercise for 6 weeks (5 days in a week, 2 times in a day for 20 minutes per session). The patient was asked to relax and positioned in a comfortable position so that his/her back and head are fully supported and his/her abdominal wall relaxed (fowler's position). The researcher places his hands on the rectus abdominals just below the anterior costal margin. Patient was asked to breathe in slowly and deeply through the nose. Patient was instructed to keep the shoulders relaxed and upper chest quiet, following the abdomen to rise. Then the patient was asked to slowly let all the air out using controlled expiration with pursed-lip. This was applied for three or four times and then rest. Care was taken not to hyperventilate the patient. Three or four sets were applied in a 20 minutes treatment session.

### (b) Group - 2

Patients were given only pursed-lip expiration exercise for 6 weeks (5 days in a week, 2 times per day for 20 minutes per session). The patient was asked to relax his or her shoulder muscles and asked to breathe in (inhale) slowly through his or her nose for two counts, keeping mouth closed. Then he/she was asked to pursue their lips as if they were going to whistle or gently flicker the flame of a candle. Finally breathe out (exhale) slowly and gently through pursed-lips while counting to four. Periodic assessment was taken every week by the physiotherapist to find out whether the patients were doing the exercise daily or not.

Mini wright peak flow meter was used to measure the peak expiratory flow rate. The meter was calibrated by hand to ensure consistent accuracy and reproducibility. The flow meter measures the speed at which air is exhaled from lungs, giving a measurement of how well airways are working. It has a clear, easy to read scale which measures from 30 to 400 L/min (low range) and from 60 to 850 L/min (standard range).

FEFR readings provide an objective measure of how well the lungs are functioning. An increase in an individual's FEFR value reveals lung function that has got better and, a decrease in FEFR highlights that the lung function has got worse. When asthma is well controlled, FEFR readings are at their highest, and do not vary from day to day; big changes in peak flow suggests that the disease is not fully under control. The patient was asked to take in deepest breath possible then to put the mouth piece in the mouth and to give a short sharp, fast explosive blow into the meter. The meter readings were kept at zero. The test was repeated twice and the best of the three attempts was recorded.

Standard inch tape was used to measure the chest expansion. The flat inch tape was placed around the chest and then the patient was asked to breath out as far as possible in which the measuring tape was drawn taut, patient was then asked to breathe in as deeply as possible, at the same time allowing the tape measure to be released and the two measurements were recorded.

Data were analysed using Statistical Package for Social Sciences (SPSS) version 17.0 software. The analysis was performed by using students paired t-test and statistical significance was accepted for p < 0.05.

### RESULTS

Table 1 compares the age of patients involved in the study. The mean age in diaphragmatic and pursed lip expiration group was  $58.00 \pm 8.28$  and  $53.33 \pm 7.65$  respectively. There was no significant difference between the two groups with respect to ages (p = 0.121 > 0.05). In group 1, 86.7 % were males and 13.3 % were females and in group 2; 93.3 % were males and 6.7 % were females (Figure 1 and Table 2). There was no significant difference between the groups with respect to male/female ratio as p = 0.543 > 0.05.

 
 Table 1: Comparison of age of patients in the experimental groups

Age Group	No. of Pa- tients (N)	Mini- mum age	Maximum age	Mean	Stan- dard devia- tion	t value	p value
Diaphragmatic breathing exer- cise group	15	38	69	58.00	8.289	1.601	0.121
Pursed-lip breathing exer- cise group	15	39	62	53.33	7.659	1.601	NS
Total	30	38	69	55.67	8.193	-	-

NS - not significant

**Table 2:** Gender wise distribution of patients in the studygroup

	Gro	Total	
Sex	Diaphragmatic breath- ing Exercise Group		
F	2	1	3
	13.3%	6.7%	10.0%
М	13	14	27
	86.7%	93.3%	90.0%
Total	15	15	30
	100.0%	100.0%	100.0%

Figure 1: Bar diagram showing gender distribution of patients



The chest expansion and PEFR recorded before the treatment (pre-test) are shown in Table 3. The difference between the two groups was not significant (Table 3). The post-test results for group 1 are provided in Table 4. In diagrammatic breathing group, chest expansion before the intervention was  $81.67 \pm 10.17$  and it becomes  $83.33 \pm 9.98$ after the treatment; resulted in 2.04 % improvement (p < 0.001). PEFR before the treatment was  $96.67 \pm 34.16$  and after the treatment, it becomes  $113.00 \pm 36.34$  (16.9 % improvement). The results hence showed that the treatment was effective for both chest expansion and PEFR. Figure 2 schematically shows the result.

Table 3: Comparison of chest expansion and PEFR before(Pre-) treatment

Param- eter	Group	N	Mean	Std. De- viation	t value	p value
Chest expan- sion (cm)	Diaphragmat- ic breathing Exercise Group	15	81.67	10.168	1.162	0.255
	Pursed lip breathing Ex- ercise Group	15	86.13	10.868		NS
PEFR (Lt/ min)	Diaphragmat- ic breathing Exercise Group	15	96.67	34.157	0.528	0.602
	Pursed lip breathing Ex- ercise Group	15	105.33	53.601		NS

**Table 4:** Pre- and post-comparison of chest expansionand PEFR in diaphragmatic breathing exercise group

Parameter	N	Mean	Std. De- viation	Mean Dif- ference	Change (%)	t value	p value
Chest expansion (cm) Pre Post	15 15	81.67 83.33	10.17 9.98	1.67	2.04	13.23	P<0.001 HS
PEFR (Lt/min) Pre Post	15 15	96.67 113.00	34.16 36.34	16.33	16.90	8.25	P<0.001 HS

HS -highly significant





Table 5 shows the results of pursed-lip breathing group where the chest expansion before and after the treatments were  $86.13 \pm 10.87$  and  $87.00 \pm 10.72$  respectively (1.01 %

improvement). PEFR before the treatment was  $105.33 \pm 53.60$  and after the treatment, it turned out to be  $108.20 \pm 53.45$  with 2.72 % improvement. Hence the treatment is effective for both chest expansion as well as PEFR (Figure 3).

Table 5: Pre and post comparison of chest expansion an	nd
PEFR in pursed-lip breathing exercise group	

Param- eter	N	Mean	Std. Devia- tion	Mean Differ- ence	Change (%)	t val- ue	p value
Chest ex- pansion (cm) Pre Post	15 15	86.13 87.00	10.87 10.72	0.87	1.01	4.52	P<0.001 HS
PEFR (Lt/ min) Pre Post	15 15	105.33 108.20	53.60 53.45	2.87	2.72	8.53	P<0.001 HS

**Figure 3:** Pre- and post-comparison of chest expansion and PEFR in Pursed lip breathing exercise group



A comparison of % change between the groups is also provided (Table 6 and Figure 4). The value of chest expansion has shown 2.04 % improvement in group 1 and 1.01 % in group 2 whereas the PEFR showed 16.9 % improvement in group 1 and 2.27 % in group 2. The results hence clearly showed that the treatment performed in group 1 was significantly more effective than that performed in group 2.

Table 6: (	Comparison	between	groups
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Param- eter	Group	N	Mean Differ- ence	Stan- dard Devia- tion	Change (%)	t value	p value	p val- ue
Chest expan- sion (cm)	Dia- phrag- matic Exercise Group	15	1.67	0.488	3.485	3.485	0.002	HS
	Pursed lip Exercise Group	15	0.87	0.743				
PEFR (Lt/ min)	Dia- phrag- matic Exercise Group	15	16.33	7.669	6.705	6.705	P<0.001	HS
	Pursed lip Exercise Group	15	2.87	1.302				

Figure 4: Bar diagram comparing % change



## DISCUSSION

The study was conducted on 30 bronchial asthma patients between the age group of 20 to 40 years. The result of the study in six weeks duration showed that there is significant improvement in FEFR and chest expansion in diaphragmatic breathing exercise group. The results are in agreement with the report of Holloway and Ram [13], where it was found that diaphragmatic breathing technique relives the symptoms of bronchial asthma and also increases FEFR, chest expansion and a significantly improves the quality of life.

Literature on diaphragmatic breathing and pursed-lip breathing reveals that pursed-lip breathing is effective in decreasing dyspnoea, it improves gas exchange in people with moderate to severe, but stable chronic obstructive pulmonary disease. These positive effects appear to be related to the technique's ability to decrease air way narrowing during expiration, an effect attributed to decreasing the resistive pressure drop across the air way wall. Thus pursed-lip breathing could only be expected to be beneficial to those people with narrowing of larger air ways during expiration which would exclude people with mild disease. Only a few studies demonstrated positive effects during diaphragmatic breathing. These effects appeared to be associated with slowing the respiratory rate and not improving ventilation or volume of oxygen maximum. Pursed-lip breathing is often adopted naturally and diaphragmatic breathing requires skill and extensive training. Our interpretation of the evidence is that pursed-lip can be a valuable rehabilitation tool in selected cases and that there is no rationale for teaching diaphragmatic breathing to this patient population.

Traditionally, physical therapist classifies diaphragmatic breathing and pursed-lip breathing as breathing retraining techniques. To date, no studies were found that investigated patients' ability to use these techniques during functional activities, which may require use of the techniques over prolonged periods of time. This should be a focus of future research. Future studies would include measures which may better clarify the mechanisms for dyspnoea reduction with pursed-lips breathing and diaphragmatic breathing such as inspiratory capacity, the duty cycle, pace, and thoraco abdominal changes during walking [14].

In recent years, asthma treatment has been focused on pharmacological protocols designed to control asthma and the inflammatory process of the disease. Other therapeutic approaches to help control asthma have been neglected. Studies on physical exercise, breathing exercises, and physiotherapeutic approaches have been performed to determine the clinical and physical benefits of these interventions on bronchial asthma. Specific inspiratory muscle training improves muscle strength and endurance which results in reduced asthma symptoms, hospitalizations for asthma, emergency department contacts, absences from school or work, and medication consumption.

The use of breathing exercise in the clinical treatment of older adults with asthma can be effective, and the improvements in muscle strength can help in dealing with asthma crisis. New randomised, double-blind, placebo-controlled studies with larger sample populations are needed, especially for older asthmatic patients. Future studies could examine both the outcomes used in this study and outcomes associated with airway hyper-reactivity and inflammatory markers to better understand the physiological mechanisms of these interventions [15].

## CONCLUSION

The results of the study are in favour of diaphragmatic breathing exercise group as it has resulted significant improvement in FEFR and chest expansion. Thus it can be concluded that diaphragmatic breathing exercise plays a vital role in the rehabilitation of asthmatic patients to gain the functional improvement, independence and to reduce functional impairments and symptoms.

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## An Evidence-Based Study: Effect of Physiotherapy Treatment on Exercise Capacity in Patients with COPD

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## ABSTRACT

**Background:** Chronic obstructive pulmonary disease (COPD) is a respiratory disorder, associated with an ongoing limitation of airflow. COPD negatively having effects on patients' functional capacity.

**Introduction:** COPD having negative effects on patients' physical, occupational, and social functioning due to limited functional capacity and experience of frequent dyspnoea and fatigue during activities. To determine effective physiotherapy treatment on exercise capacity (6-MWT) in patients with COPD.

**Design:** The articles were searched in Google scholar, PubMed, Elsevier, Cochrane library by using keywords Chronic obstructive pulmonary disease (COPD), 6-Minute Walk Test(6-MWT). Articles which were done during the year 2012 - 2019 were only selected. Articles were selected only if they were Systematic review and meta-analysis, randomized controlled trial(RCT), includes subject with COPD, Exercise capacity (6-Minute Walk Test) were used as one of the outcome measure and physiotherapy intervention was given as a treatment.

**Results:** 80 to 85 articles were searched from different database out of them 10articles were selected for the study, 5 systematic review and 5 RCTs. Data were extracted by one reviewer that includes the intervention description, inclusion/exclusion criteria, baseline data, values for all outcomes at baseline, post-intervention and follow-up.

**Conclusion:** Findings indicate that physiotherapy treatment, Inspiratory Muscle Techniques (IMT), Cycle Ergometer Training, Resistance Training, Manual Diaphragmatic Release Technique, Muscle Energy Techniques (MET), Yoga with Breathing Control, Diaphragmatic Breathing Training, Aerobic Exercise, Upper Limb Resistance Exercise and Breathing Exercises are effective to improve exercise capacity (6-MWT). Resistance Training, Muscle Energy Techniques, Aerobic Exercise, Yoga with Breathing Control- these are the techniques which having 1A level of evidence. So, these are highly recommended to improve exercise capacity in patient with COPD.

*Keywords-* COPD, 6-Minute Walk Test (6- MWT), Physiotherapy treatment, Inspiratory Muscle Training (IMT), Diaphragmatic Breathing Training

## **INTRODUCTION**

Chronic obstructive pulmonary disease (COPD) is a respiratory disorder,

associated with an ongoing limitation of airflow, mainly to the expiratory airflow. <sup>[1,2]</sup> The cause of COPD is a chronic Perry Y. Patel et.al. An evidence-based study: effect of physiotherapy treatment on exercise capacity in patients with COPD

inflammation in the lung and airways in response to poisonous particles and gases. During physical activity, COPD-associated limitation in the airflow is exacerbated and dyspnoea prevents patients from so continuing physical activity. <sup>[3]</sup> COPD having negative effects on patients' physical, occupational, and social functioning due to limited functional capacity and experience dyspnea and fatigue during daily activities. <sup>[4,5]</sup>

Physiotherapy has been used for reduced exercise capacity. Inspiratory Muscle Training (IMT), Cycle Ergometer Training (CET), pulmonary rehabilitation resistance training. (PR), manual diaphragmatic release technique, muscle energy technique (MET), Yoga, Aerobic Diaphragmatic Exercise. Breathing Technique, upper limb and breathing exercises- All are used for exercise capacity. Treatment Outcome are measured by 6-Minute Walk Test (6-MWT) at baseline and at end of the treatment phase and in some study, it measures at some specific interval.

Evidence based research is needed to determine effective therapeutic techniques to improve exercise capacity (6-MWT).

## **METHODOLOGY**

Search strategy and study selection:

RESULTS

The articles were searched in Google scholar, PubMed, Elsevier, Cochrane library by using keywords Chronic obstructive pulmonary disease (COPD), 6-Minute Walk Test. Articles which were done during the year 2012 - 2019 were only selected.

### **Inclusion criteria for articles are:**

- Systematic review and meta-analysis Or Randomized Control Trials (RCT).
- The articles that includes subjects with COPD.
- Use of physiotherapy intervention for treatment of reduced exercise capacity.
- 6- MWT as one of the outcome measures.

Articles were excluded if they were Correlational study or Case study, other than physiotherapy treatment as an intervention and reduced physical exercise due to COPD only.

**Study Selection:** 80 to 85 articles were searched from different database out of them 10articles were selected for the study.

## **Quality measurement:**

Data were extracted by one reviewer that includes the intervention description, inclusion/exclusion criteria, baseline data, values for all outcomes at baseline, postintervention and follow-up.

Author	Study Design	No. Of Subjects	Treatment	Intervention	Outcome measures	Results	Level of evidence
<u>Rugbjerg M</u> Et Al., (2015) <sup>[6]</sup>	A Systematic Review with Meta- Analysis	4 RCTs (489 Participants)	Pulmonary Rehabilitation	Aerobic exercise, Pursed lip breathing, other breathing exercises, cycling, walking session duration=30 to 90 minutes, frequency= twice/ thrice a week for 4 to 6 months.	Outcomes are Health-Related Quality of Life (HRQOL), maximal exercise capacity (6-MWT), muscle strength,	Significant improvement in 6-MWT but <i>clinically nonsignificant</i> improvement in <b>6-MWT</b> ,	1A
Ning LiEt Al., (2019)	A Systematic Review	11 RCTs (405 Participants)	Resistance Training	Leg press, knee extension, knee flexion, chest press, seated row, and shoulder press, hip abduction in standing, seated row, lunges etc. with different-different duration, repetition and frequency	Outcome measures are <b>6MWT</b> , CWRET (constant work rate endurance test), 6PBRT(6-min pegboard and ring test) UULEX (unsupported upper limb exercise test), and CPET (cardiopulmonary exercise test)	Resistance training significantly improved 6-min walking distance <b>6-MWT</b>	1A
Paneroni M Et Al., (2017) <sup>[8]</sup>	A Systematic Review and Meta- Analysis	10 RCT (n=458)	Aerobic Exercise Training	Aerobic Exercise Training (Leg exercises, cycling, free walking, treadmill walking included) duration from 4 to 52 weeks with 1 to 5 sessions per week lasting 15 to 40 minutes each.	Outcome measures are 6-minute walking test(6-MWT) and/or health- related quality of life assessed by the St. George's Respiratory Questionnaire (SGRQ).	Statistically significant improvement in 6- MWT	1A
Baxter Da Et Al., (2019) <sup>[9]</sup>	A Systematic Review	3 RCTs (90 Participants)	Muscle Energy Technique (MET)	1st RCT subjects receive MET + CPT (conventional chest physiotherapy) 2 <sup>nd</sup> RCT subjects receive MET 3 <sup>nd</sup> RCT subjects receive MET + exercise	Outcomes are Inspiratory Capacity, Forced Expiratory Volume in One Second (FEV1), And Forced Vital Capacity (FVC). Exercise Capacity Measured by Six- Minute Walk Test ( <b>6MWT</b> ), Quality of Life or Health Status.	2 studies assessed exercise capacity using the <b>6MWT</b> individual study results showed that MET+CPT was superior to CPT alone. in the other study, MET + exercise therapy improved walking distance compared to sham-MET + exercise therapy	1A
Holger Cramer Et Al., (2019) [10]	A Systematic Review and Meta- Analysis	11 RCTs (586 Participants)	Yoga	Hatha yoga, Pranayama, laughter yoga, Kripalu yoga, Iyengar yoga etc. with conventional drug or physiotherapy as co- intervention Duration= 2 weeks to 9 months, Frequency= once/ twice daily or twice weekly, Session duration= 10-90 Minute	quality of life, dyspnea, exercise capacity( <b>6-MWT</b> ), and pulmonary function (FEV1),	Effects of yoga <b>with breathing</b> on exercise capacity ( <b>6-MWT</b> ), but yoga posture was not significantly affecting the 6-MWT	1A
Wellington P. Yamaguti et al., (2012) [11]	RCT	Total = $30$ Treatment group= (n=15) Control group= (n=15) Truch 20	Diaphragmatic breathing training program	Training group completed a 4-week supervised DBTP (3 individualized weekly sessions), while control group (CG) received their usual care.	Outcome assessed by amplitude of the rib cage to abdominal motion ratio (RC/ABD ratio) and diaphragmatic mobility, 6-minute walk test (6- MWT)and health related quality of life	6-MWT distance improved in treatment group.	1B
<u>Rocha T</u> Et Al., (2015)	RCT	Total= 20	Manual Diaphragmatic	The experimental group received six treatments with the manual diaphragm	Outcome are diaphragmatic mobility, the 6-minute walk test(6-	Manual Diaphragmatic Release Technique significantly improved the 6-minute walk	IB

[12]		Treatment Group (n=11) Control Group (n=9)	Release Technique	release technique on non-consecutive days within a 2-week period. The control group received sham treatments following the same regimen.	MWT),maximal respiratory pressures; and abdominal and chest wall kinematics. Outcomes were measured before and after the first and sixth treatments.	(6-MWT) distance over the treatment course	
Wang Ket al, (2017) <sup>[13]</sup>	RCT	Total=81 CET+IMT Group (n=28) CET Group (n=27) Free Walking (n=26) Control Group	Cycle Ergometer training (CET) and Inspiratory Muscle Training (IMT)	Combined training group received 30- minute CET and 14-minute IMT three times per week for 8 weeks,IMT was given with a threshold-loaded IMT device CET group received 30-minute CET three times per week for 8 weeks, CET was performed on an electromechanically braked cycle	Respiratory muscle strength, exercise capacity( <b>6MWT</b> ), pulmonary function, dyspnea, quality of life, emotional status, nutritional status, and body mass index, airflow obstruction, and exercise capacity index were measured before and after the pulmonary rehabilitation program.	Exercise capacity (6-MWT) was significantly improved in group CET+IMT and CET group	1B
Yekefallah L Et Al., (2019) [14]	RCT	Total= 75 Group 1 (n= 25) Group 2 (n= 25) Group 3 (n= 25)	Upper Limb Exercise (Strengthening Exercises) And Breathing Exercises (Pursed-Lip and Diaphragmatic Breathing).	First group were performing upper limb exercises thrice weekly for one month,second group were doing pursed-lip and diaphragmatic breathing exercises four times daily for one month at their homes. however, the patients in the control group received no exercise intervention.	Six-minute walk test( <b>6-MWT</b> ) was performed by each participant	Walking distance in the control group didn't change significantly, while it remarkably increased in both the upper limb exercise and the breathing exercise groups. Walking distance in the upper limb exercise group was significantly greater than the breathing exercise group and the control group however, the difference between the breathing exercise and the control groups was not statistically significant	1B
Bavarsad Mb Et Al., (2015) <sup>[15]</sup>	RCT (Single- Blind)	Total=40 Treatment Group (n=20) Control Group (n=20)	Inspiratory Muscle Training (IMT)	Treatment group received IMT with flow volumetric respiratory exerciser named (respivol), for 8 weeks (15 min/day for 6 days/week)	Each patient was assessed before and after 8 weeks of training for exercise capacity by 6-min walking test (6MWT)	Statistically significant increase in 6-MWT	1B

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## CONCLUSION

According to these articles Inspiratory Muscle Techniques (IMT), Cycle Ergometer Training, Resistance Training, Manual Diaphragmatic Release Technique, Muscle Energy Techniques, Breathing Yoga with Control, Diaphragmatic Breathing Training, Aerobic Exercise, Upper Limb Resistance Exercise Breathing Exercise and These physiotherapy treatments are effective to improve exercise capacity. (6-MWT)

Resistance Training, Muscle Energy Techniques, Aerobic Exercise, Yoga with Breathing Control- these are the techniques which having 1A level of evidence. So, highly recommended to improve exercise capacity in patient with COPD.

Pulmonary rehabilitation (Aerobic exercise, Pursed lip breathing, other breathing exercises, cycling, walking) clinically not shows any significant difference and only Yoga posture was not improving 6-MWT.

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